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## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER JM-046 US U.S. ARPLICATION NO (If known, see 37 CFR 1.5)

| CONCERNING A SUBMISSIO   | N/A 10/523217   |   |  |  |  |  |  |
|--|---|---|--|--|--|--|--|
| INTERNATIONAL APPLICATION NO.<br>PCT/EP2003/008245   | INTERNATIONAL FILING DATE<br>25 July 2003   | PRIORITY DATE CLAIMED 1 August 2002                 |  |  |  |  |  |
| TITLE OF INVENTION APPARATUS FOR SEALING PUNCTURES IN BLOOD VESSELS  |   |   |  |  |  |  |  |
| APPLICANT(S) FOR DO/EO/US ABBOTT LABORATORIES VASCULAR ENTERPRISES, LIMITED  |   |   |  |  |  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:                                      |   |   |  |  |  |  |  |
| 1. X This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.   |   |   |  |  |  |  |  |
| 2. This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.   |   |   |  |  |  |  |  |
| 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. |   |   |  |  |  |  |  |
| 4. The US has been elected (Article 31).   | The US has been elected (Article 31).   |   |  |  |  |  |  |
| 5. X A copy of the International Application   | X A copy of the International Application as filed (35 U.S.C. 371(c)(2))  |   |  |  |  |  |  |
| a. X is attached hereto (required  | a. X is attached hereto (required only if not communicated by the International Bureau).                          |   |  |  |  |  |  |
| b. has been communicated by  | b.  has been communicated by the International Bureau.  |   |  |  |  |  |  |
| c. is not required, as the applic  | c. is not required, as the application was filed in the United States Receiving Office (RO/US).                   |   |  |  |  |  |  |
| 6. An English language translation of the  | e International Application as filed (35 U.S.C  | C. 371(c)(2)).                                      |  |  |  |  |  |
| a. is attached hereto.   | a. is attached hereto.  |   |  |  |  |  |  |
| b. has been previously submit  | b. has been previously submitted under 35 U.S.C. 154(d)(4).   |   |  |  |  |  |  |
| 7. Amendments to the claims of the Inter   | rnational Application under PCT Article 19 (  | (35 U.S.C. 371(c)(3))                               |  |  |  |  |  |
| a. are attached hereto (requir   | a. are attached hereto (required only if not communicated by the International Bureau).                           |   |  |  |  |  |  |
| b. have been communicated  | b. have been communicated by the International Bureau.  |   |  |  |  |  |  |
| c. have not been made; howe  | c. have not been made; however, the time limit for making such amendments has NOT expired.                        |   |  |  |  |  |  |
| d. $oxed{X}$ have not been made and v  | d. X have not been made and will not be made.   |   |  |  |  |  |  |
| 8. An English language translation of th   | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).       |   |  |  |  |  |  |
| 9. An oath or declaration of the inventor  | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).  |   |  |  |  |  |  |
| An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).                       |   |   |  |  |  |  |  |
| Items 11 to 20 below concern document(s) or information included:  |   |   |  |  |  |  |  |
| 11. An Information Disclosure Statement  | under 37 CFR 1.97 and 1.98.   |   |  |  |  |  |  |
| 12. An assignment document for recording   | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. |   |  |  |  |  |  |
| 13. X A preliminary amendment.   | •   |   |  |  |  |  |  |
| 14. X An Application Data Sheet under 37 C   | An Application Data Sheet under 37 CFR 1.76.  |   |  |  |  |  |  |
| 15. A substitute specification.  | A substitute specification.   |   |  |  |  |  |  |
| 16. A power of attorney and/or change of   | A power of attorney and/or change of address letter.  |   |  |  |  |  |  |
| 17. A computer-readable form of the sequ   | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.     |   |  |  |  |  |  |
| 18. A second copy of the published Intern  | A second copy of the published International Application under 35 U.S.C. 154(d)(4).                               |   |  |  |  |  |  |
| 19. A second copy of the English languag   | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).     |   |  |  |  |  |  |
| 20. X Other items or information: Copy of fo   | orm PCT/IB/304, Formal Drawings, Certif   | ficate of Express Mailing & Return Receipt Postcard |  |  |  |  |  |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## JT12 Rec'd PCT/PTO 3 1 JAN 2005

PTO-1390 (Rev. 12-2004)
Approved for use through 3/31/2007. OMB 0651-0021
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| U.S. APPLICAT   | A 10/523217 INTERNATIONAL APPLICATION NO.   ATTORNEY'S DOCKET NUMBER   A 10/523217   PCT/EP2003/008245   JM-046 US |                    |              |             |             | KET NUMBER    |                 |
|---|--|--------------------|--------------|-------------|-------------|---------------|-----------------|
| 21. The following   | ng fees are submi  |                    |              |             |             | Applicant use | Office use only |
| X a) Basic na   | X a) Basic national fee\$300.00  |                    |              | \$300.00    | \$ 300.00   |               |                 |
| (X) b) Examination fee  |  |                    | \$200.00     | \$ 200.00   |             |               |                 |
| X c) Search fee\$500.00   |  |                    | \$ 500.00    |             |             |               |                 |
| TOTAL OF ABOVE CALCULATIONS = \$1000.00   |  |                    |              |             |             | \$ 1,000.00   |                 |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. |  |                    |              |             |             |               |                 |
| Total Sheets  | Extra sheets   |                    |              |             | RATE        |               |                 |
| 79 - 100 =  | 0  | /50 = O            |              |             | x \$250.00  | \$0.00        |                 |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).  |  |                    |              |             | earliest    | \$            |                 |
| CLAIMS  | NUME   | BER FILED          | NUMBER EXTRA |             | RATE        | \$            |                 |
| Total claims  | 35   | - 20 =             | 15           | ×           | \$50.00     | \$ 750.00     |                 |
| Independent clai  | ms 4   | - 3 =              | 1            | х           | \$200.00    | \$ 200.00     |                 |
| MULTIPLE DEP  | ENDENT CLAIM(  | S) (if applicable) |              | +           | \$360.00    | \$ 0.00       |                 |
| TOTAL OF ABOVE CALCULATIONS =   |  |                    |              | \$ 1,950.00 |             |               |                 |
| Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.   |  |                    |              |             |             |               |                 |
| SUBTOTAL = \$ 1,950.00  |  |                    |              |             |             |               |                 |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). \$ 0.00   |  |                    |              |             |             |               |                 |
| TOTAL NATIONAL FEE =  |  |                    |              |             | ONAL FEE =  | \$ 1,950.00   |                 |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property \$ 0.00  |  |                    |              |             |             |               |                 |
| TOTAL FEES ENCLOSED =   |  |                    |              |             | \$ 1,950.00 |               |                 |
| Amount to be refunded:  |  |                    |              |             |             | \$            |                 |
|   | Amount to be charged \$\$  |                    |              |             |             | \$            |                 |
| a. A chec   | a. A check in the amount of \$ to cover the above fees is enclosed.  |                    |              |             |             |               |                 |
| b. X Please charge my Deposit Account No. 50-2298 in the amount of \$ 1,950.00 to cover the above fees.  A duplicate copy of this sheet is enclosed.  |  |                    |              |             |             |               |                 |
| c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-2298. A duplicate copy of this sheet is enclosed.   |  |                    |              |             |             |               |                 |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.                      |  |                    |              |             |             |               |                 |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.                                    |  |                    |              |             |             |               |                 |
| SEND ALL CORRESPONDENCE TO:   |  |                    |              |             |             |               |                 |
| Nicola A. Pisano  |  |                    |              |             |             |               |                 |
| Luce, Forward, Hamilton & Scripps LLP   |  |                    |              |             |             |               |                 |
| 11988 El Camino Real, Suite 200  Nicola A. Pisano  NAME   |  |                    |              |             |             |               |                 |
| San Diego   | , CA 92130   |                    |              |             |             | 34,408        |                 |
|   | REGISTRATION NUMBER  |                    |              |             |             |               |                 |

JM -046 US

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Randolf von Oepen et al.

Serial No.

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For

APPARATUS FOR SEALING PUNCTURES IN BLOOD

**VESSELS** 

Group Art Unit

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January 31, 2005

I hereby certify that the enclosed PTO-1390 form (2 pages); Preliminary Amendment (8 pages); Application Data Sheet (7 pages); copy of PCT Publication No. WO 2004/012601 A3; Formal Drawing Sheets (20 sheets); Copy of PCT/IB/304 form (1 page); and return-receipt postcard are being deposited with the U.S. Postal Service "Express Mail Post Office to the Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to Mail Stop PCT Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

| January 31, 2005 | LL AL            |
|------------------|------------------|
| Date             | Leigh A. Coleman |